

PART B - FEE(S) TRANSMITTAL

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OCT 05 2007

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45092 7590 07/11/2007

HOFFMAN, WARNICK & D'ALESSANDRO LLC
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ALBANY, NY 12207

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(Depositor's name)

(Signature)

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/881,346	06/14/2001	Jens-Uwe Schneider	DE920010052US1	7238

TITLE OF INVENTION: COMPUTERIZED METHOD AND SYSTEM FOR SCORE BASED EVALUATION OF CAPITAL MARKET INVESTMENT DECISIONS AND STRATEGIES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	10/11/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
DASS, HARISH T	3693	705-036000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).	2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.	David L. Adour Hoffman, Warnick & D'Alessandro LLC
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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

10/10/2007 1000002 0000003 09881346

International Business Machines Corporation

01 FC:1501 1440.00 DA

Armonk, New York 00.00 DA

Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Corporation or other private group entity Government

4a. The following fee(s) are submitted:

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

Issue Fee
 Publication Fee (No small entity discount permitted)
 Advance Order - # of Copies _____

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5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(e)(2)(ii).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Meghan Q. Toner

Date October 4, 2007

Typed or printed name

Meghan Q. Toner

Registration No. 52,142

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